polication of:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Carsten-Peter Carstens

Examiner:

Not Yet Assigned

& TRADSETIAL No.:

10/649,547

Filed: Entitled: August 27, 2003 "Method for Transfer of DNA

Group Art Unit:

Not Yet Assigned

Segments"

Conf. No.

Not Yet Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elizabeth N. Spar

Name of Person Mailing Pane

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- Information Disclosure Statement under 37CFR §§§ 1.56, 1.97 & 1.98; 1.
- 2. Copy of Form 1449 filed in parent case; and
- 3. Return Post Card.

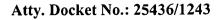
The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 25436/1243. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Elizabeth of S Reg # 45,1136 Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 27495 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Carsten-Peter Carstens

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Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents is also enclosed, however no paper copies of cited references are included as said references were previously filed in the parent application, Serial No. 09/793,372.

Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application. Respectfully submitted,

Date: 18 04

Elizahan S Reg # 45, 123 / Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 27495 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

JAN 12 2004 PA

USPTO Form 1449 U.S. Department of Commerce				Attorney Docket No.		Serial No.			
		DISCLOSURE STAT	EMENT	25436/1240		09/793,372			
				Applicant(s):. Carster	-Peter Car	stens			
				Filing Date: February		Group: 1636			
U.S. PAT	ENT D	OCUMENTS							
Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)		
	1.	5,888,732	March 30, 1999	Hartley, et al.	435	6			
	2.	6,156,509	December 5, 2000	Schellenberger	435	6			
FOREIG	N PATE	NT DOCUMENTS	-	•					
Examiner		Document No.	Date	Country	Class	Subclass	Translation		
Initial							YES	NO	
OTHER I	DOCUM	IENTS (including Auth	or, Title, Date, Pertin	ent Pages, etc.)					
	3.	Hoflack, et al. (1997). "Nucleotide Sequence and Characterization of the Cryptic Bacillus thuringiensis Plasmid pGI3 Reveal a New Family of Rolling Circle Replicons," Vol. 179, No. 16, pages 5000-5008.							
	4.	Copy of the International Search Report (PCT/US02/04454).							
EXAMIN	IER				DATE CO	DATE CONSIDERED			
next communica	ition to Applic	ence considered, whether or not citation	n is in conformance with MPEP 609	. Draw line through citation if not	in conformance ar	nd not considered.	Include copy of thi	s form with	